



Risk and Audit Committee Meeting Agenda

Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting may be considered by the Board at a subsequent Board meeting. The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting. Public comment may be taken on agenda items in accordance with applicable law. There is a three-minute limitation on public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs. tit 2, § 552.1.) Members of the public may provide public comment via telephone by calling (800) 259-4105. Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.

CalPERS Auditorium

Lincoln Plaza North
400 P Street
Sacramento, CA

June 14, 2022

TBD p.m.

Or upon adjournment of the (Committee) Committee – Whichever is later

Committee Members

Lisa Middleton, Chair

Jose Luis Pacheco

Shawnda Westly

David Miller, Vice Chair

Ramon Rubalcava

Betty Yee

Fiona Ma

Open Session

TBD p.m.

Or upon adjournment of the (Committee) Committee – Whichever is later

Item

1. Call to Order and Roll Call
2. Approval of the June 14, 2022 Risk and Audit Committee Timed Agenda
3. Executive Report – Kevin Fein
4. **Action Consent Items** – Kevin Fein
 - a. Approval of the April 18, 2022 Risk and Audit Committee Meeting Minutes
5. **Information Consent Items** – Kevin Fein
 - a. Annual Calendar Review

- b. Draft Agenda for the November 16, 2022 Risk and Audit Committee Meeting
- c. Quarterly Status Report – Office of Audit Services
- d. Status Report – Enterprise Risk Management
- e. Status Report – Enterprise Compliance Activity
- f. External Audit Fees

6. Action Agenda Items

- a. 2022-23 Enterprise Compliance and Risk Management Plans – Kevin Fein/Forrest Grimes
- b. 2022-23 Office of Audit Services Plan – Beliz Chappuie
- c. Independent Auditor’s Annual Plan – Beliz Chappuie

7. Information Agenda Items

- a. 2022-23 Annual Compliance Report – Kevin Fein
- b. Summary of Committee Direction – Kevin Fein
- c. Public Comment

Closed Session

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

Item

- 1. Call to Order and Roll Call
- 2. **Action Consent Items** – Kevin Fein
 - a. Approval of the April 18, 2022 Risk and Audit Committee Closed Session Meeting Minutes
- 3. **Information Agenda Items**
 - a. Personnel, Employment and Performance, Chief Compliance Officer – Kevin Fein
 - b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie
 - c. Personnel, Employment and Performance, Chief Risk Officer – Forrest Grimes