



Risk and Audit Committee

Amended Meeting Agenda

Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting may be considered by the Board at a subsequent Board meeting. The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting. Public comment may be taken on agenda items in accordance with applicable law. There is a three-minute limitation on public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs., tit 2, § 552.1.) Members of the public may provide public comment via telephone by calling (800) 259-4105. Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings. In accordance with California Government Code section 11133 (added by Stats. 2022, ch. 48, § 20), Board members may participate via teleconference.

Feckner Auditorium

Lincoln Plaza North
400 P Street
Sacramento, CA

June 21, 2023

10:00 a.m.

Or upon adjournment of the Pension and Health Benefits Committee Closed Session –Whichever is later

Committee Members

Lisa Middleton, Chair

Fiona Ma

Mullissa Willette

David Miller, Vice Chair

Jose Luis Pacheco

Malia Cohen

Ramon Rubalcava

Open Session

10:00 a.m.

Or upon adjournment of the Pension and Health Benefits Committee Closed Session –Whichever is later

Item

1. Call to Order and Roll Call
2. Executive Report – Kevin L. Fein
3. **Action Consent Items** – Kevin L. Fein
 - a. Approval of the June 21, 2023 Risk and Audit Committee Timed Agenda
 - b. Approval of the February 13, 2023 Risk and Audit Committee Meeting Minutes
4. **Information Consent Items** – Kevin L. Fein

- a. Annual Calendar Review
 - b. Draft Agenda for the September 19, 2023 Risk and Audit Committee Meeting
 - c. Quarterly Status Report – Office of Audit Services
 - d. Status Report – Enterprise Risk Management
 - e. Status Report – Enterprise Compliance Activity
 - f. External Audit Fees
5. **Action Agenda Items**
- a. 2023-24 Enterprise Compliance and Risk Management Plans – Kevin L. Fein
 - b. 2023-24 Office of Audit Services Plan – Beliz Chappuie
 - c. Independent Auditor’s Annual Plan – Beliz Chappuie
 - d. Conflict of Interest Code Amendment – Kevin L. Fein
6. **Information Agenda Items**
- a. 2022-23 Annual Compliance Report – Kevin L. Fein
 - b. Summary of Committee Direction – Kevin L. Fein
 - c. Public Comment
7. **Approval to Meet in Closed Session Pursuant to Government Code Section 11126(c)(18)(A) – Lisa Middleton**

Closed Session

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1), (c)(18)(A), and (g)(1))

Item

- 1. Call to Order and Roll Call
- 2. **Action Consent Items** – Kevin L. Fein
 - a. Approval of the February 13, 2023 Risk and Audit Committee Closed Session Meeting Minutes
- 3. **Information Agenda Items**
 - a. Personnel, Employment and Performance, Chief Compliance Officer – Kevin L. Fein
 - b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie
- 4. **Information Security Update and Cybersecurity Briefing – Al Arboleda**

Open Session

Upon adjournment of Closed Session

- 1. **Report out on Information Security Update and Cybersecurity Briefing**
- 2. **Adjournment of Meeting**