



Risk and Audit Committee Meeting Agenda

Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting may be considered by the Board at a subsequent Board meeting. The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting. Public comment may be taken on agenda items in accordance with applicable law. There is a three-minute limitation on public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs., tit 2, § 552.1.) Members of the public may provide public comment via telephone by calling (800) 259-4105. Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings.

Feckner Auditorium

Lincoln Plaza North
400 P Street
Sacramento, CA

September 19, 2023

9:00 a.m.

Or upon adjournment of the Finance and Administration Committee – Whichever is later

Committee Members

David Miller, Chair

Fiona Ma

Ramon Rubalcava

Lisa Middleton, Vice Chair

Jose Luis Pacheco

Mullissa Willette

Malia Cohen

Open Session

9:30 a.m.

Or upon adjournment of the Finance and Administration Committee – Whichever is later

Item	Presentation	Q & A	Cumulative Time
1. Call to Order and Roll Call			
2. Executive Report – Kevin L. Fein	2	1	3
3. Action Consent Items – Kevin L. Fein	1	2	6

Item	Presentation	Q & A	Cumulative Time
3a. Approval of the September 19, 2023, Risk and Audit Committee Timed Agenda			
3b. Approval of the June 21, 2023, Risk and Audit Committee Meeting Minutes			
4. Information Consent Items – Kevin L. Fein	1	3	10
4a. Annual Calendar Review			
4b. Draft Agenda for the November 15, 2023, Risk and Audit Committee Meeting			
4c. Quarterly Status Report – Office of Audit Services			
4d. Status Report – Enterprise Risk Management			
4e. Status Report – Enterprise Compliance Activity			
6. Information Agenda Items			
6c. Summary of Committee Direction – Kevin L. Fein	1	2	13
6d. Public Comment			

Total Open Session Time: 13 min.

Closed Session

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

Item	Time in Minutes	Q & A	Cumulative Time
1. Call to Order and Roll Call			
2. Action Consent Items – Kevin L. Fein			
2a. Approval of the June 21, 2023, Risk and Audit Committee Closed Session Meeting Minutes	1	1	2
3. Information Agenda Items			
3a. Personnel, Employment and Performance, Chief Compliance Officer – Kevin L. Fein	2	2	6
3b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie	2	2	10

Total Closed Session Time: 10 min.