



Risk and Audit Committee

Draft Meeting Agenda

Items designated for Information are appropriate for Committee Action if the Committee wishes to take action. Any Agenda Item from a properly noticed Committee meeting may be considered by the Board at a subsequent Board meeting. The hour designated as the earliest starting time for this meeting is not intended to communicate the expected duration (or ending time) of the preceding meeting. Public comment may be taken on agenda items in accordance with applicable law. There is a three-minute limitation on public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs., tit 2, § 552.1.) Members of the public may provide public comment via telephone by calling (800) 259-4105. Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings. Pursuant to Government Code section 11133 (added by Stats. 2022, ch. 48, § 20), Board members may participate via teleconference.

Feckner Auditorium

Lincoln Plaza North
400 P Street
Sacramento, CA

November 15, 2023

TBD

Or upon adjournment of the (Committee) Committee – Whichever is later

Committee Members

David Miller, Chair
Lisa Middleton, Vice
Chair Malia Cohen

Fiona Ma
Jose Luis Pacheco

Ramon Rubalcava
Mullissa Willette

Open Session

TBD

Or upon adjournment of the (Committee) Committee – Whichever is later

Item

1. Call to Order and Roll Call
2. Executive Report – Kevin L. Fein
3. **Action Consent Items** – Kevin L. Fein
 - a. Approval of the November 15, 2023, Risk and Audit Committee Timed Agenda
 - b. Approval of the September 19, 2023, Risk and Audit Committee Meeting Minutes
4. **Information Consent Items** – Kevin L. Fein

- a. Annual Calendar Review
 - b. Draft Agenda for the February 2024 Risk and Audit Committee Meeting
 - c. Quarterly Status Report – Office of Audit Services
 - d. Status Report – Enterprise Risk Management
 - e. Status Report – Enterprise Compliance Activity
5. **Action Agenda Items**
- a. Independent Auditor’s Report, Fiscal Years 2023-24 – Beliz Chappuie
 - b. Review of Independent Auditor’s Management Letter – Beliz Chappuie
6. **Information Agenda Items**
- a. Summary of Committee Direction – Kevin L. Fein
 - b. Public Comment

Closed Session

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

Item

- 1. Call to Order and Roll Call
- 2. **Action Consent Items** – Kevin L. Fein
 - a. Approval of the September 19, 2023 Risk and Audit Committee Closed Session Meeting Minutes
- 3. **Information Agenda Items**
 - a. Personnel, Employment and Performance, Chief Compliance Officer – Kevin L. Fein
 - b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie